



News release

Equatex US Inc. obtains US broker dealer registration and chooses Interactive Brokers as clearing broker

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Equatex US Inc., the US entity of global share plan administrator Equatex Group, has successfully completed its application for a broker dealer registration with the US Securities and Exchange Commission (SEC) and membership with the Financial Industry Regulatory Authority (FINRA). The registration marks an important step in the buildup of Equatex's international presence and paves the way for the company's collaboration with Interactive Brokers in the US.

Equatex US Inc. applied for SEC registration and FINRA membership following Equatex Group's launch as a standalone company in February 2015. The membership was granted by FINRA on 12 February 2016 and allows the company to act as a broker dealer in the US market. To provide an overarching service to its corporate clients' US participants of share and compensation plans, Equatex has announced that it will collaborate with Interactive Brokers LLC, who will act as the US clearing broker.

Equatex Group CEO Andrej Golob said: "Our FINRA membership in the US is a vital component for the further development of our services and product portfolio in this core market. Together, in a trusted business alliance with Interactive Brokers as a leading technology innovator, we will be able to serve our corporate clients' US-based share and compensation plan participants in the best possible way".

The US broker dealer registration is the second regulatory authorization that the Equatex Group has applied for and obtained in order to build up and expand its global business. The group's Swiss entity, Equatex AG, previously received its securities dealer license from the Swiss Financial Market Supervisory Authority (FINMA).

About Equatex

Equatex provides international employee and executive compensation plan administration and securities services for today's global enterprise, supporting clients with participants across Europe, Asia, Australia and America. With secured cloud-based technologies, Equatex enables companies to deliver engaging compensation schemes across borders, languages and currencies. Equatex currently supports around 200 international businesses and their 1.5 million employees, providing customised end-to-end solutions from funding instruments to administration and execution.

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